

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

COMMODITY FUTURES TRADING	)	
COMMISSION,	)	
	)	
Plaintiff,	)	Civil Action No. 22-cv-02465
	)	
v.	)	Honorable Mary M. Rowland
	)	
SAM IKKURTY A/K/A SREENIVAS I RAO,	)	Magistrate Judge Jeffrey
RAVISHANKAR AVADHANAM, AND	)	Cummings
JAFIA, LLC,	)	
	)	
Defendants,	)	
	)	
IKKURTY CAPITAL, LLC D/BA/ ROSE CITY	)	
INCOME FUND, ROSE CITY INCOME FUND	)	
II LP, AND SENECA VENTURES, LLC,	)	
	)	
Relief Defendants.	)	
	)	

**RECEIVER’S FIFTH REQUEST FOR  
PAYMENT OF REASONABLE COMPENSATION**

James L. Kopecky, not individually, but solely as the Court-appointed Receiver (the “Receiver”) for the Receivership Estate, as defined by the Order Appointing Temporary Receiver dated May 11, 2022 (Dkt. 18) and the Consent Order for Preliminary Injunction dated July 18, 2022 (Dkt. 37) (collectively “Receivership Order”), and pursuant to the Receivership Order, makes the following Request for Payment of Reasonable Compensation and Expense Payments. In support thereof, the Receiver states:

**I. Background of Receiver’s Appointment and Request**

1. The Receivership Order provides that the Receiver and all personnel hired by the Receiver, including counsel to the Receiver, are entitled to reasonable compensation for the

performance of duties and out-of-pocket expenses incurred for services authorized by the Receivership Order that when rendered were (1) reasonably likely to benefit the Receivership Estate or (2) necessary to the administration of the Receivership Estate. (Dkt. 18, p. 9)

2. The Receivership Order further requires the Receiver to file with the Court and serve on the parties, periodic requests for the payment of such reasonable compensation. The Court ordered that this fifth request, which covers the period May 15, 2023, through August 14, 2023, be filed on August 15, 2023. (Dkt. 178)

3. As this Court and the parties are aware, the Receiver filed a report on the status of the Receivership, on August 1, 2023. (Dkt. 197)

## **II. Accounting of Receivership Estate**

4. The Receiver currently has \$9,190,950.86 in cash in the Receivership bank account. The Cryptocurrency contained in the three digital wallets in the Receiver's possession has a current value<sup>1</sup> of \$13,762,218.35.

5. In addition to the Receiver's compensation request addressed herein, there are several outstanding requests for payment of attorney's and professional fees:

- Defendant Avadhanam requested \$225,112 in legal fees (Dkt. 132);
- Defendants Sam Ikkurty and Jafia, LLC requested \$48,592.50 in legal fees (Dkt. 144);
- Defendant Avadhanam requested \$293,965 in legal fees (Dkt. 182);
- Defendants Sam Ikkurty and Jafia, LLC requested \$397,297.67 in legal fees (Dkt. 184);
- Defendants Sam Ikkurty and Jafia, LLC requested \$191,451.74 in legal fees (Dkt. 205).

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<sup>1</sup> The Receiver bases the "current value" of the cryptocurrency in question on information obtained through the website <https://zapper.xyz> (hereinafter, "Zapper"). Several cryptocurrency consultants and/or trading firms from which the Receiver sought input and advice have opined that it is unlikely that the cryptocurrency associated with the three above-described digital wallets can be liquidated for the values reflected on Zapper. Moreover, the Receiver was unable to identify a trading firm that had experience with a cryptocurrency liquidation of this scale.

In addition, the Court pre-approved expert fees up to \$75,000 that Mr. Ikkurty requested, and Mr. Ikkurty recently submitted a request for the payment of \$14,757.50 in expert fees. (Dkt. 206) Thus, Defendants' pending requests for payment of legal and professional fees total: \$1,171,176.41. If the Court approves those requests and instructs the Receiver to transmit those payments, the Receivership bank account will be reduced to \$8,019,774.45.

### **III. Receiver's Retention of Personnel And Expenses Associated Therewith**

6. The Receiver and his attorneys administered the Receivership Estate, preserved assets and communicated with investors. The Receiver's fees and expenses are combined with those of counsel, Kopecky Schumacher Rosenberg LLC, and total \$57,687.78. That invoice is attached as Exhibit A. The invoice contains a detailed explanation of the work performed on behalf of the Receivership. The Receiver's work was, and continues to be, necessary to the administration of the Receivership and beneficial to the Receivership Estate.

7. A summary of the Receiver's activity over the past 90 days is set forth in the Receiver's August 1, 2023, Status Report (Dkt. 197) A substantial portion of the Receiver's work involved analyzing potential claims against profitable investors and contacting those investors; proposing a potential liquidation strategy for the digital assets held by the Receivership Estate; proposing a claims procedure; and addressing tax issues for the 2022 tax year.

8. The Receiver also paid several professionals in connection with services they provided to the Receivership Estate:

- a. The Receiver paid SeaSaw Marketing (inclusive of GoDaddy hosting charges) \$936.96 for services relating to the ongoing content updates to the Receivership website, [www.rosecityfundreceivership.com](http://www.rosecityfundreceivership.com). The invoices are attached as Exhibit

- B. This expense was necessary to the administration of the Receivership as the website is the primary source of communication with investors and it serves to benefit the Receivership Estate in that manner.
- b. Since the Receiver's last compensation request Miller Kaplan has performed a significant amount of work to prepare and file tax returns for the funds in the Receivership Estate and investor Form K-1s. Miller Kaplan's most recent invoice in the amount of \$20,120.81 is attached as Ex. C. This expense was necessary to the administration of the Receivership, and serves to benefit the Receivership Estate.
- c. StoneTurn also provided services to the Receiver totaling \$15,147. StoneTurn's invoices are attached as Exhibit D. This expense was necessary to the administration of the Receivership, and serves to benefit the Receivership Estate.

### **III. Notice, Service and Investor Objection**

9. The Receiver will post this request to the Receivership website shortly after filing it and will serve this request on the parties via the Court's Pacer/ECF system.

10. Pursuant to this Court's August 12, 2022, minute entry, if no objection is filed within seven (7) business days of this request, the Court will presume there is no objection and review the motion and enter an order accordingly. (Dkt. 48)

In conclusion, the Receiver requests that this Court:

- (a) approve payment of the Receiver and Kopecky Schumacher Rosenberg LLC's invoice of \$57,687.78 in fees and expenses;
- (b) approve payment of Miller Kaplan's invoice in the amount of \$20,120.81;
- (c) approve payment of SeaSaw Marketing's invoice in the amount of \$936.96.
- (d) approve payment of StoneTurn's invoices in the amount of \$15,147.

Finally, the Receiver requests that the next request for compensation be due November 15, 2023.

Respectfully submitted,

<p>Daryl M. Schumacher (6244815) Kopecky Schumacher Rosenburg LLC 120 N. LaSalle St., Suite 2000 Chicago, IL 60602 Telephone: (312) 380-6556</p>	<p><u>/s/ Daryl M. Schumacher</u> <i>Counsel for the Receiver for Defendants Jafia, LLC, Sam Ikkurty a/k/a Sreenivas I Rao, Ikkurty Capital LLC d/b/a/ Rose City Income Fund I, Rose City Income Fund II, LP and Seneca Ventures, LLC</i></p>
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**CERTIFICATE OF SERVICE**

I hereby certify that on August 15, 2023, I electronically filed the foregoing document with the Clerk of the Court by using the CM/ECF system, which will provide electronic notice and an electronic link to this document to all counsel of record.

DATED: August 15, 2023

/s/ Daryl M. Schumacher



KOPECKY SCHUMACHER ROSENBERG LLC

120 N LaSalle St Suite 2000  
Chicago, IL 60602  
www.ksrlaw.com

Rose City Income Fund

August 15, 2023

File#: rcif-001  
Invoice#: 435

**Rose City Income Fund Receivership**

<b>Date</b>	<b>Description</b>	<b>Lawyer</b>	<b>Hours</b>	<b>Amount</b>
5/15/2023	Review, consider and approve motion for approval of compensation requests.	JLK	0.20	100.00
5/15/2023	Respond to and provide documents to PV.	JLK	0.20	100.00
5/15/2023	Telephone call from potential bidder with parameters of possible bid.	JLK	0.10	50.00
5/15/2023	Review of investor statements in order to identify alternate mailing addresses and issue demand letters.	JM	0.30	90.00
5/15/2023	Revisions to fee petition; compile exhibits; file motion and transmit for submission to Rose City website.	DMS	0.60	300.00
5/15/2023	Prepare various materials for investor communications.	HJR	0.20	100.00
5/16/2023	Download and perform cursory review of Ikkurty dep transcript; pay for same.	DMS	0.20	100.00
5/16/2023	Prepare email to potential asset purchaser.	JLK	0.10	50.00
5/16/2023	Prepare email to Miller Kaplan regarding open items.	JLK	0.10	50.00
5/16/2023	Prepare email to StoneTurn regarding open items.	JLK	0.10	50.00
5/16/2023	Respond to investor emails to RCIF website.	JLK	0.20	100.00
5/16/2023	Revisions to Motion to Pool Assets.	DMS	0.80	400.00
5/17/2023	Receive email from Ikkurty's counsel and consider Digital Ocean.	JLK	0.10	50.00
5/17/2023	Review Ikkurty response to CFTC Motion for Protective Order.	DMS	0.20	100.00
5/18/2023	Respond to request to download investor information.	JLK	0.10	50.00
5/18/2023	Confer with Daryl regarding StoneTurn and management fee reconciliation issues.	JLK	0.50	250.00
5/18/2023	Review CFTC reply in support of motion for protective order.	DMS	0.10	50.00
5/18/2023	Analysis of StoneTurn emails; confer with Receiver re: same.	DMS	0.50	250.00
5/18/2023	Review Ikkurty request for production; review CFTC responses to Ikkurty production requests; Review FRCP 34; draft objections to Ikkurty production requests and transmit Draft to Receiver for comment.	DMS	2.30	1,150.00
5/19/2023	Prepare for and participate in deposition of H. Dasso.	DMS	3.60	1,800.00

5/19/2023	Review correspondence regarding status of CFTC's motion for protective order.	JM	0.10	30.00
5/19/2023	Review of subpoena responses and preparing update for Stoneturn to continue analysis.	JM	2.20	660.00
5/22/2023	Receive and initial review of Miller Kaplan open items for RCIF II and Ikkurty Capital.	JLK	0.20	100.00
5/22/2023	Respond to question from Stretto regarding claim form process.	JLK	0.10	50.00
5/22/2023	Respond to Miller Kaplan regarding Key Bank fraudulent transfer.	JLK	0.10	50.00
5/22/2023	Prepare email to Max A. regarding information need on analysis for Miller Kaplan.	JLK	0.10	50.00
5/22/2023	Prepare email to Dan Jackson regarding confirming information necessary for Miller Kaplan to complete returns.	JLK	0.10	50.00
5/24/2023	Consider StoneTurn information and prepare email regarding next steps.	JLK	0.20	100.00
5/24/2023	Prepare email to Project B regarding crypto income analysis.	JLK	0.10	50.00
5/24/2023	Respond to question from Project B regarding liquidation.	JLK	0.10	50.00
5/25/2023	Receive and review email from Project B regarding income analysis.	JLK	0.10	50.00
5/25/2023	Research and provide information to Miller Kaplan for the QSF.	JLK	0.30	150.00
5/25/2023	Prepare email to Ikkurty's attorney regarding missing information.	JLK	0.10	50.00
5/25/2023	Revise and send investor demand letters that were returned for invalid address.	JM	0.40	120.00
5/25/2023	Review of docket and CFTC motion for protective order in order to prepare for hearing on same.	JM	0.30	90.00
5/25/2023	Review correspondence from StoneTurn re: need for additional records and preparing subpoenas to JP Morgan and Umpqua Bank.	JM	1.60	480.00
5/26/2023	Review Project B, question to Max A, and pay Project B invoice.	JLK	0.20	100.00
5/26/2023	Receive and review DJ's response to Ikkurty identifying bank transactions for Miller Kaplan.	JLK	0.10	50.00
5/26/2023	Receive and consider Ikkurty response with explanations for transfers.	JLK	0.10	50.00
5/26/2023	Address website issues.	JLK	0.20	100.00
5/26/2023	Attending hearing on CFTC motion for protective order.	JM	0.80	240.00
5/26/2023	Review Miller Kaplan open items, update, and email to Miller Kaplan.	JLK	0.20	100.00
5/29/2023	Research AL&C information and contact for accounting.	JLK	0.10	50.00
5/29/2023	Research F Investments information for accounting.	JLK	0.10	50.00
5/29/2023	Research EXLanA for information and contact for accounting.	JLK	0.10	50.00
5/29/2023	Research GLTR for information and contact for accounting.	JLK	0.10	50.00
5/29/2023	Research SP for information and contact for accounting.	JLK	0.10	50.00
5/29/2023	Research DP for information and contact for accounting.	JLK	0.10	50.00
5/29/2023	Review, consider and respond to receivership emails.	JLK	0.20	100.00



5/29/2023	Review and respond to email from Miller Kaplan regarding additional information needed for returns.	JLK	0.10	50.00
5/29/2023	Receive and respond to email from StoneTurn regarding conference.	JLK	0.10	50.00
5/30/2023	Receive and review additional information from FK regarding LLC investor.	JLK	0.10	50.00
5/30/2023	Exchange emails with FK regarding status call.	JLK	0.10	50.00
5/30/2023	Review order granting approval of Miller Kaplan invoice and pay invoice.	JLK	0.10	50.00
5/30/2023	Contact investors for information necessary for tax returns.	JLK	0.10	50.00
5/30/2023	Telephone conference with StoneTurn regarding scope of work and its possible work for Ikkurty.	JLK	0.20	100.00
5/30/2023	Telephone call with Stoneturn re: asset tracing.	DMS	0.20	100.00
5/30/2023	Revising subpoenas and notice of subpoenas to banks for additional records requested by StoneTurn.	JM	0.60	180.00
5/30/2023	Conference with StoneTurn to discuss accounting analysis and outstanding documents.	JM	0.30	90.00
5/31/2023	Prepare emails to attorneys for Ikkurty and investor entities seeking information for Miller Kaplan for tax returns.	JLK	0.20	100.00
5/31/2023	Respond to question from tax professional regarding income issue.	JLK	0.10	50.00
5/31/2023	Consult with jeweler regarding jewelry.	JLK	0.20	100.00
5/31/2023	Prepare questions to entity investor.	JLK	0.10	50.00
6/1/2023	Review correspondence re: proof of claim and exchange emails with Stretto re: same.	DMS	0.10	50.00
6/1/2023	Exchange emails with investor accountant regarding information.	JLK	0.10	50.00
6/1/2023	Receive and review response from Ikkurty's attorney regarding entity information.	JLK	0.10	50.00
6/1/2023	Compile and provide information to Miller Kaplan for tax returns.	JLK	0.20	100.00
6/1/2023	Review Ikkurty deposition transcript in furtherance of motion to pool assets.	DMS	0.30	150.00
6/2/2023	Exchange emails with investor accountant regarding meeting.	JLK	0.10	50.00
6/2/2023	Telephone call with investor SP and prepare and send upload link.	JLK	0.20	100.00
6/2/2023	Telephone call to respond to question from TNBT.	JLK	0.10	50.00
6/2/2023	Receive and review email from RA's attorney regarding providing information.	JLK	0.10	50.00
6/3/2023	Review and consider Ikkurty's status report regarding StoneTurn as an expert witness.	JLK	0.20	100.00
6/3/2023	Prepare questions and email to StoneTurn regarding work completed and proposed additional work.	JLK	0.10	50.00
6/3/2023	Receive and consider entity and investor information from SP.	JLK	0.20	100.00
6/3/2023	Prepare email to Miller Kaplan regarding additional information provided and status of Seneca returns.	JLK	0.10	50.00
6/4/2023	Review for responses the RCIF email folders.	JLK	0.10	50.00
6/5/2023	Respond to tax question from RA.	JLK	0.10	50.00

6/5/2023	Meeting with jewelry appraiser.	JLK	0.50	250.00
6/5/2023	Prepare for and telephone conference with StoneTurn and Daryl regarding scope of work issues.	JLK	0.40	200.00
6/5/2023	Telephone call with attorney FK to investigate an entity investor and answer questions.	JLK	0.20	100.00
6/5/2023	Prepare for and participate in conference call re: StoneTurn.	DMS	0.20	100.00
6/6/2023	Prepare Receivership Fund tax question and send to Miller Kaplan.	JLK	0.10	50.00
6/6/2023	Prepare questions to Ikkurty's attorneys for Miller Kaplan.	JLK	0.10	50.00
6/6/2023	Prepare email to Miller Kaplan regarding open items.	JLK	0.10	50.00
6/6/2023	Review CFTC objection to Ravi fee petition.	DMS	0.10	50.00
6/6/2023	Review Ravi fee petition.	DMS	0.20	100.00
6/6/2023	Email to Stretto re: claims form and tax issues.	DMS	0.10	50.00
6/7/2023	Review and consider response from Miller Kaplan regarding W-9.	JLK	0.10	50.00
6/8/2023	Revisions to motion to pool assets; review claim notice from Stretto; review sample motions to establish claims bar date and approve claims process.	DMS	0.50	250.00
6/8/2023	Receive and consider email from digital asset bidder withdrawing bid and respond.	JLK	0.10	50.00
6/9/2023	Consider response from Ikkurty's attorney regarding entities and email to Miller Kaplan.	JLK	0.10	50.00
6/9/2023	Prepare and deliver jewelry to Jackson.	JLK	0.20	100.00
6/9/2023	Receive and respond to email from Mainlander Property Management.	JLK	0.10	50.00
6/9/2023	Review series of correspondence from JP Morgan Chase and call to representative re: subpoena response.	JM	0.50	150.00
6/13/2023	Receive and review Beverly Bank account statement for Receivership account.	JLK	0.10	50.00
6/14/2023	Review Ikkurty fee petition; confer with Receiver re: same in furtherance of opposition to fee petition.	DMS	0.60	300.00
6/15/2023	Follow up communications to JPM Chase and Umpqua Bank re: status of subpoena response.	JM	0.20	60.00
6/16/2023	Receive and review Joint Status Report.	JLK	0.10	50.00
6/19/2023	Draft opposition to Ikkurty fee petition.	DMS	2.00	1,000.00
6/20/2023	Receive and consider Miller Kaplan to Ikkurty's attorney email question.	JLK	0.10	50.00
6/20/2023	Receive information from investor and email to Miller Kaplan regarding it.	JLK	0.10	50.00
6/20/2023	Consider objection to Ikkurty's fee request.	JLK	0.20	100.00
6/20/2023	Attention to returned mail items per Stretto; send multiple emails seeking revised addresses; report back to Stretto.	DMS	0.40	200.00
6/20/2023	Research re: approval of claims process; claim form; claims bar date and pooling issues; confer with Receiver re: same.	DMS	2.40	1,200.00
6/20/2023	Research and summarize cases cited in motions for approval of payment of attorneys' fees.	LC	3.00	225.00

6/21/2023	Receive and consider information from Ikkurty's attorneys and exchange emails with Miller Kaplan regarding its adequacy.	JLK	0.20	100.00
6/21/2023	Follow up / analysis of claims against profitable investors; consider defenses and investigate claims of reinvestment of disbursements; update tracking chart; make recommendations to Receiver regarding pursuit of claims against certain profitable investors; task associate with certain follow up.	DMS	2.00	1,000.00
6/21/2023	Consider question from Miller Kaplan and email Intertrust regarding obtaining information.	JLK	0.20	100.00
6/21/2023	Prepare email to Miller Kaplan regarding Seneca Ventures open items.	JLK	0.10	50.00
6/21/2023	Begin draft of motion to approve claims process.	DMS	1.10	550.00
6/21/2023	Consult with counsel regarding profitable investors and potential claw back claims.	JLK	0.30	150.00
6/22/2023	Respond to question from investor to Miller Kaplan.	JLK	0.10	50.00
6/22/2023	Review, consider and pay SeaSaw Marketing Invoice.	JLK	0.10	50.00
6/22/2023	Respond to investor question.	JLK	0.10	50.00
6/22/2023	Draft memo in support of motion to (i) approve claims bar date; (ii) approve claim form; (iii) approve claims procedures and (iv) allow the Receiver to pool assets and liabilities of the receivership estate.	DMS	5.40	2,700.00
6/22/2023	Confer with counsel on claims process and pooling.	JLK	0.30	150.00
6/23/2023	Review of investor documents to verify addresses of non-responsive investors and send follow-up correspondence.	JM	1.40	420.00
6/23/2023	Correspondence to JP Morgan and Umpqua to request update on status of responses to subpoenas for records.	JM	0.20	60.00
6/26/2023	Review CFTC opposition to Ikkurty fee petition; finalize and file Receiver's opposition to fee petition.	DMS	0.50	250.00
6/26/2023	Exchange emails and information with vendor regarding preserving RCIF Instagram account.	JLK	0.10	50.00
6/26/2023	Receive and consider update on documents necessary for StoneTurn to complete analysis.	JLK	0.10	50.00
6/26/2023	Correspondence to Umpqua re: subpoena response and document production.	JM	0.50	150.00
6/27/2023	Research and respond to investor question.	JLK	0.20	100.00
6/27/2023	Review correspondence from JP Morgan re: subpoena response; download production; confer with J. Miceli.	DMS	0.30	150.00
6/27/2023	Address issues re: Digital Ocean and preservation of RCIF Instagram account.	DMS	0.20	100.00
6/27/2023	Prepare email to Miller Kaplan regarding open items.	JLK	0.10	50.00
6/27/2023	Consult with Miller Kaplan to respond to tax inquiries.	JLK	0.20	100.00
6/29/2023	NC- Respond to investor inquiry.	JLK	0.10	0.00
6/29/2023	Prepare email to Miller Kaplan regarding tax information.	JLK	0.10	50.00
6/30/2023	Consider and confer with counsel on pooling and claims bar date motion.	JLK	1.00	500.00

6/30/2023	Research asset freeze question and respond to Levi G. regarding Ravi A.	JLK	0.50	250.00
6/30/2023	Meeting with Receiver re: outstanding tasks.	DMS	0.20	100.00
6/30/2023	Telephone call with D. Jackson re: outstanding action items for Ikkurty.	DMS	0.10	50.00
6/30/2023	Correspondence to Umpqua re: existence of additional records beyond September 2022.	JM	0.10	30.00
7/5/2023	Continue to prepare cover letters and correspondence to profitable investors that have yet to respond to demand letters.	JM	1.50	450.00
7/5/2023	Review follow up letters to profitable investors; exchange emails with J. Miceli and propose changes; exchange emails with J. Kopecky re: same.	DMS	0.20	100.00
7/6/2023	Confer with counsel regarding Motion to Set Claims Bar Date.	JLK	0.20	100.00
7/6/2023	Research and email vendors for W-9s for Miller Kaplan to complete 1099s.	JLK	0.40	200.00
7/6/2023	Conference with Receiver re: changes to motion re: claims process; revise motion re: claims process.	DMS	0.60	300.00
7/6/2023	Preparing transfer of records to StoneTurn to continue analysis of investor accounts.	JM	0.30	90.00
7/7/2023	Review and respond to investor emails.	JLK	0.20	100.00
7/7/2023	Consider and exchange emails with Ritchie May's attorney regarding invoices, 1099s and W-9s.	JLK	0.20	100.00
7/7/2023	Receive and confirm Berkower LLC W-9 for 2022.	JLK	0.10	50.00
7/7/2023	Revisions to claims approval motion; excerpt procedures section and transmit to claims agent for approval/discussion.	DMS	1.10	550.00
7/7/2023	Prepare update to website regarding K-1s.	JLK	0.10	50.00
7/7/2023	Review Ikkurty reply in support of fee petition; email receiver with summary.	DMS	0.20	100.00
7/10/2023	Emails with Ritchie May's attorney regarding documents for taxes.	JLK	0.10	50.00
7/10/2023	Research and respond to question from investor VP.	JLK	0.10	50.00
7/10/2023	Receive and consider Miller Kaplan-administrator exchange for RCIF II taxes.	JLK	0.10	50.00
7/11/2023	Draft motion to liquidate cryptocurrency.	DMS	2.30	1,150.00
7/11/2023	Research and email vendors for information for Miller Kaplan.	JLK	0.30	150.00
7/11/2023	Analyze flow of funds from Umpqua Bank; confer with Receiver; draft email to StoneTurn re: same.	DMS	0.30	150.00
7/12/2023	Consider Seneca Ventures open items.	JLK	0.10	50.00
7/12/2023	Follow up email to D. Jackson re: Digital Ocean.	DMS	0.10	50.00
7/12/2023	NC- Respond to investor question.	JLK	0.10	0.00
7/12/2023	Confer with counsel on profitable investor issues and possible litigation.	JLK	0.20	100.00
7/12/2023	Prepare for and participate in meeting with Stretto re: claims procedure; review revisions to claims procedure.	DMS	0.60	300.00
7/12/2023	Draft response letter to objection of E L to Receiver's demand letter.	DMS	0.50	250.00

7/12/2023	Draft response letter to PP objection to Receiver's demand letter.	DMS	0.50	250.00
7/12/2023	Confer with counsel regarding claims process and potential bar date.	JLK	0.20	100.00
7/13/2023	Exchange emails with D. Jackson and Percipient in attempt to coordinate tech vendor's preservation of Rose City Instagram account.	DMS	0.20	100.00
7/13/2023	Draft response letter to EL and PP re: net gains and settlement demand.	DMS	1.00	500.00
7/13/2023	Receive, review and exchange emails with Miller Kaplan regarding RCIF II tax issues.	JLK	0.20	100.00
7/13/2023	Meeting with cyrpto consultant regarding values of portfolio.	JLK	0.50	250.00
7/14/2023	Review and consider RCIF II tax K-1, return, and Miller Kaplan instructions; sign and contact Stretto.	JLK	0.40	200.00
7/14/2023	Review of investor statements to obtain contact information requested by Miller Kaplan to prepare tax returns.	JM	0.60	180.00
7/15/2023	Research and respond to question from investor VP.	JLK	0.10	50.00
7/15/2023	Review and consider Beverly Bank Statement.	LC	0.10	50.00
7/17/2023	Receive and respond to question from Stretto.	JLK	0.10	50.00
7/17/2023	Confer with counsel on obtaining IRA administrator information.	JLK	0.10	50.00
7/17/2023	Draft emails to various investors for which we need administrator EINs.	DMS	0.60	300.00
7/17/2023	Respond to questions from D. Jackson; confer with Receiver re: same.	DMS	0.20	100.00
7/18/2023	Cause website update.	JLK	0.10	50.00
7/18/2023	Receive and review email from Stretto confirming mailing.	JLK	0.10	50.00
7/19/2023	Prepare for, and participate in Zoom with documents vendor.	DMS	0.70	350.00
7/20/2023	Receive and consider Miller Kaplan's response and respond to investor RE.	JLK	0.10	50.00
7/21/2023	Analysis of IRA administrator EIN's and transmit to Miller Kaplan for each responding investor.	DMS	0.90	450.00
7/21/2023	Review efforts to obtain outstanding W-9 forms for issuance of 1099's.	DMS	0.30	150.00
7/21/2023	Confer with counsel on digital asset values and liquidation.	JLK	0.20	100.00
7/21/2023	Review and respond to receivership emails.	JLK	0.10	50.00
7/21/2023	Analyze wire transfers from Evolve bank and provide additional information re: same to StoneTurn in response to inquiry.	JM	1.10	330.00
7/21/2023	Review correspondence from StoneTurn re: asset tracking and follow up on money in Evolve bank account; confer with colleague re: same.	DMS	0.30	150.00
7/24/2023	Telephone call with co-managers of investor re: tax issues and status; follow up re: frozen assets and digital wallets; exchange multiple emails with investor re: same.	DMS	1.00	500.00
7/24/2023	Follow up with Miller Kaplan re: Dorit Solutions.	DMS	0.30	150.00
7/24/2023	Prepare for and participate in Zoom with D. Jackson and S. Ikkurty; follow up re: same.	DMS	0.20	100.00

7/24/2023	Continued revisions to motion to liquidate; exchange emails with potential vendor.	DMS	0.80	400.00
7/24/2023	Continued revisions to motion for claims process; exchange emails with Stretto to set up Zoom to finalize notice and proof of claim form.	DMS	0.90	450.00
7/24/2023	Confer with counsel regarding liquidation process.	JLK	0.20	100.00
7/24/2023	Respond to questions from two LLC investor groups.	JLK	0.10	50.00
7/25/2023	Respond to Stretto inquiry re: mailing addresses.	DMS	0.10	50.00
7/25/2023	Address email question from investor AT.	DMS	0.10	50.00
7/25/2023	Exchange emails with investor PK.	DMS	0.10	50.00
7/25/2023	Review claims and notice forms; Zoom with Stretto team re: same; propose revisions to same for Review by Receiver.	DMS	0.60	300.00
7/25/2023	Review expert report and relay excerpts to Receiver via email.	DMS	0.40	200.00
7/25/2023	Review and respond to question from StoneTurn.	JLK	0.10	50.00
7/26/2023	Review correspondence; telephone conf. with L. Giovanetto re: Ravi; draft memo to file and Receiver re: same.	DMS	0.50	250.00
7/26/2023	Revisions to Proof of Claim form.	DMS	0.40	200.00
7/26/2023	Review various emails with investors and respond to questions; prepare for call with investor.	DMS	0.50	250.00
7/26/2023	Telephone conf. with investor AT.	DMS	0.80	400.00
7/26/2023	Conference with Receiver re: claims form and proposed motions.	DMS	0.20	100.00
7/26/2023	Consider claim form and motion and consult with counsel regarding.	JLK	0.40	200.00
7/27/2023	Draft Receiver's status report.	DMS	0.90	450.00
7/27/2023	Revisions to claims procedure motion; exchange emails with Stretto re: logistics of administrative procedures upon approval.	DMS	4.90	2,450.00
7/27/2023	Review and consider Motion to Set Claims Process and Pool.	JLK	0.50	250.00
7/28/2023	Exchange emails with RG and research and respond to his tax question.	JLK	0.10	50.00
7/28/2023	Address Seneca Ventures issues.	JLK	0.20	100.00
7/28/2023	Prepare and file motion for leave to file in excess pages; confer with court deputy re: same.	DMS	1.60	800.00
7/28/2023	Research investor issues to respond to questions regarding taxes, CSN and COBs.	JLK	0.40	200.00
7/31/2023	Research and respond to question from investor RP.	JLK	0.10	50.00
7/31/2023	Respond to question from KAN, LLC.	JLK	0.10	50.00
7/31/2023	Prepare email to Stretto regarding addresses.	JLK	0.10	50.00
7/31/2023	Review and respond to question from investor VR.	JLK	0.10	50.00
7/31/2023	Consider motion to liquidate cryptocurrency and confer with counsel regarding.	JLK	0.50	250.00
7/31/2023	Revisions to Motion to Liquidate; confer with receiver re: same; finalize and file motion.	DMS	3.30	1,650.00
7/31/2023	Finalize and file motion for approval of claims process.	DMS	0.90	450.00
7/31/2023	Address tax issues for investors.	DMS	0.30	150.00

7/31/2023	Attention to physical and email addresses for investors for claims process.	DMS	0.20	100.00
7/31/2023	Revise and supplement Receiver's motion to liquidate cryptocurrency in Receivership Estate.	JM	0.40	120.00
7/31/2023	Review materials for posting to receivership website and confer with website administrator regarding posting of materials.	HJR	0.10	50.00
8/1/2023	Consider draft status report; suggest revisions and approve for filing.	JLK	0.50	250.00
8/1/2023	Review and consider email from investor to CFTC regarding defendants contact with investors.	JLK	0.10	50.00
8/1/2023	Research Beverly Bank records for Fund accounting.	JLK	0.20	100.00
8/1/2023	Finalize and file status report.	DMS	0.60	300.00
8/1/2023	Attention to tax issues for specific investors; follow up with investors and analyze outstanding items.	DMS	1.30	650.00
8/1/2023	Attention to outstanding issues re: Seneca in furtherance of K-1s.	DMS	0.30	150.00
8/1/2023	Review materials for distribution to investors in website.	HJR	0.10	50.00
8/1/2023	Respond to question from attorney LD regarding Fund Accounting.	JLK	0.10	50.00
8/2/2023	Attention to K-1 information for Seneca; email to Nexus Management and telephone call with counsel for Ravi. Confer with colleagues re: same.	DMS	0.40	200.00
8/2/2023	Review of files for information re: Seneca subscription agreements.	JM	0.80	240.00
8/2/2023	Address Seneca Ventures issues with counsel and attorneys for defendants.	JLK	0.30	150.00
8/3/2023	Exchange emails re: missing information for Seneca Ventures K-1's; email multiple investors for missing information.	DMS	0.40	200.00
8/3/2023	Prepare emails to Nexus and Ravi's attorneys regarding information necessary to complete tax returns.	JLK	0.20	100.00
8/3/2023	Multiple emails and calls in attempt to (i) obtain missing Seneca information and (ii) follow up with missing investor information for Miller Kaplan in connection with tax issues.	DMS	1.50	750.00
8/3/2023	Prepare for and participate in call with investors BP and AS re: liquidation and K1s.	DMS	0.70	350.00
8/3/2023	Review documents for publication to shareholders; confer with co-counsel regarding same; confer with web administrator regarding same.	HJR	0.20	100.00
8/4/2023	Analysis of missing information re: Seneca tax issue; draft email to D. Jackson re: same; telephone conf. with counsel for Ravi re: same.	DMS	0.50	250.00
8/7/2023	Confer with counsel regarding and respond to email regarding possible claw back and different treatment of carbon notes.	JLK	0.20	100.00
8/7/2023	Research information necessary to prepare Seneca tax returns.	JLK	0.40	200.00
8/7/2023	Telephone call and email with investor DA.	JLK	0.10	50.00
8/7/2023	Respond to email from YV regarding Seneca Ventures issues.	JLK	0.10	50.00
8/7/2023	Receive and consider information received from Levi G and email to Miller Kaplan.	JLK	0.20	100.00

8/7/2023	Receive and review objection to liquidation motion.	JLK	0.10	50.00
8/7/2023	Telephone call with investor and counsel regarding information necessary for tax returns.	JLK	0.10	50.00
8/8/2023	Receive, review and consider and respond to email regarding possible claw back claims.	JLK	0.10	50.00
8/8/2023	Receive and respond to tax question.	JLK	0.10	50.00
8/8/2023	Receive and respond to email from Ravi's attorney regarding tax issues.	JLK	0.10	50.00
8/8/2023	Email to Jackson regarding tax issues.	JLK	0.10	50.00
8/8/2023	Review and consider IRS Revenue Ruling on staking income and Miller Kaplan analysis.	JLK	0.30	150.00
8/8/2023	Review and consider Rose City Fund emails and objections to liquidation proceeding.	JLK	0.50	250.00
8/8/2023	Review and consider information received from StoneTurn regarding Seneca Ventures transactions.	JLK	0.20	100.00
8/8/2023	Receive and review Beverly Bank statement for July.	JLK	0.10	50.00
8/8/2023	Telephone call from GV and answer questions.	JLK	0.10	50.00
8/9/2023	Receive and review email from Jackson regarding Seneca information.	JLK	0.10	50.00
8/9/2023	Review and respond to Rose City email questions.	JLK	0.20	100.00
8/9/2023	Review and consider objection to liquidation and distribution.	JLK	0.10	50.00
8/10/2023	Zoom meeting with investor group to answer questions regarding claims and liquidation process.	JLK	1.00	500.00
8/11/2023	Telephone conf. with Investor SRK re: objections to liquidation; follow up call with Receiver and schedule zoom with investors; draft email to SRK re: same.	DMS	0.70	350.00
8/11/2023	Telephone conf. with investor PJ re: objections to liquidation; follow up emails.	DMS	0.30	150.00
8/11/2023	Draft compensation request.	DMS	0.50	250.00
8/11/2023	Draft and file motion to extend deadline for objections to liquidation.	DMS	0.90	450.00
8/11/2023	Review Ikkurty compensation request and update receiver.	DMS	0.20	100.00
8/11/2023	Analyze status of missing tax information and transmit secured file with missing SSNs and EINs to Miller Kaplan.	DMS	0.90	450.00
8/11/2023	Review and consider Motion to Extend Objection dates.	JLK	0.10	50.00
8/11/2023	Review Ikkurty request for Stoneturn compensation and update receiver.	DMS	0.20	100.00
8/14/2023	Review and respond to email from attorney JB.	JLK	0.10	50.00
8/14/2023	Consider additional objections to liquidation proposal.	JLK	0.20	100.00
8/14/2023	Revisions to compensation request; attention to accounting.	DMS	0.90	450.00
8/14/2023	Consider Miller Kaplan invoice.	JLK	0.10	50.00
8/14/2023	Address issues relating to motion for compensation.	JLK	0.30	150.00
8/14/2023	Telephone call with questions from DRC's administrator.	JLK	0.10	50.00



8/14/2023	Review and consider Ikkurty's fee request and the CFTC's response and consider for counsel our position on the issue.	JLK	0.30	150.00
8/14/2023	Receive, review and consider objections to liquidation and email supporting liquidation with emails providing Ikkurty's contacts with investors.	JLK	0.30	150.00
8/14/2023	Review documents for posting to investors on website.	HJR	0.10	50.00
8/14/2023	Telephone call with investor; answer questions and apprise of call with Receiver on Wednesday.	DMS	0.20	100.00
8/14/2023	Exchange emails with DocuSign re: RCIF DocuSign account; email D. Jackson re: Seneca information.	DMS	0.20	100.00
8/14/2023	Additional revisions to compensation request; analyze vendor invoices for inclusion in motion.	DMS	0.60	300.00
8/14/2023	Prepare response to Ikkurty and Jafia's request for attorney's fees.	JM	0.50	150.00
8/14/2023	Respond to inquiry from investor VJ.	DMS	0.20	100.00
	<b>Total</b>		<b>113.50</b>	<b>\$52,435.00</b>

**Disbursements**

<b>Date</b>	<b>Description</b>	<b>Amount</b>
5/16/2023	Ikkurty deposition transcript (part 2)	710.90
5/30/2023	Schumacher Airfare Portland	891.80
5/30/2023	Transcript fee - Jafia representative (Ikkurty)	1,229.90
6/5/2023	Appraisal fee - Ikkurty Jewelry	150.00
6/26/2023	Credit card from May 10 to June 9.-rental car Portland Daryl Trip	101.72
6/26/2023	Uber to/from airport.	23.95
6/26/2023	Hotel	159.16
6/26/2023	Ikkurty Transcript Fee - Capital Reporting	1,985.35
	<b>Total Disbursements</b>	<b>\$5,252.78</b>

**PAYMENTS**

<b>Date</b>	<b>Description</b>	<b>Amount</b>
6/6/2023		68,190.00
	<b>Total PAYMENTS</b>	<b>\$68,190.00</b>

New Charges	\$57,687.78
Previous Balance	\$68,190.00
Payments	-\$68,190.00
<b>Balance Forward</b>	<b>\$0.00</b>
<b>Balance Due</b>	<b>\$57,687.78</b>

Tax Number: 83-2760915

**Kopecky Schumacher Rosenberg LLC Operating Account Wire Instructions**

Kopecky Schumacher Rosenberg LLC  
Bank of America  
203 N. LaSalle St., Chicago, Illinois U.S.A.  
Wire Transfer Routing #: 026009593  
Account #: 291027680791

**Kopecky Schumacher Rosenberg LLC Operating Account ACH Instructions**

Kopecky Schumacher Rosenberg LLC  
Bank of America  
203 N. LaSalle St., Chicago, Illinois U.S.A.  
ACH Routing #: 081904808  
Account #: 291027680791

# Capital Reporting Company

Tel. 202-857-3376 Email: [billing@capitalreportingcompany.com](mailto:billing@capitalreportingcompany.com)  
 Fed. Tax ID: 20-0203552



Bill To: Daryl M. Schumacher Esq  
 Kopecky Schumacher Rosenberg PC  
 120 N LaSalle Street  
 Suite 2000  
 Chicago, IL, 60602

**Invoice #:** 6526744  
**Invoice Date:** 4/26/2023  
**Balance Due:** \$710.90

**Case: Commodity Futures Trading Commission v. Ikkurty, Et Al (22cv2465)**

**Proceeding Type: Depositions**

Job #: 5861080 | Job Date: 4/13/2023 | Delivery: Normal

Location: New York, NY

Billing Atty: Daryl M. Schumacher Esq

Scheduling Atty: Candice Haan | Commodity Futures Trading Commission (CFTC)

<b>Witness: Sam Ikkurty</b>		<b>Amount</b>
Transcript Services		\$494.90
Logistics, Processing & Electronics Files		\$121.00
Virtual Services		\$95.00

Notes:	<b>Invoice Total:</b>	<b>\$710.90</b>
	<b>Payment:</b>	<b>\$0.00</b>
	<b>Credit:</b>	<b>\$0.00</b>
	<b>Interest:</b>	<b>\$0.00</b>
	<b>Balance Due:</b>	<b>\$710.90</b>

**TERMS:** Payable upon receipt. Accounts 30 days past due will bear a finance charge of 1.5% per month. Accounts unpaid after 90 days agree to pay all collection costs, including reasonable attorney's fees. Contact us to correct payment errors. No adjustments will be made after 90 days. For more information on charges related to our services please consult <http://www.capitalreportingcompany.com/services>

**Remit to:**  
 Capital Reporting Company  
 P.O. Box 71303  
 Chicago IL 60694-1303  
 Fed. Tax ID: 20-0203552

**Pay By ACH (Include invoice numbers):**  
**A/C Name:** Capital Reporting Company  
**Bank Name:** BMO Harris Bank  
**Bank Addr:** 311 W. Monroe Chicago, IL 60606  
**Account No:** 2049351 **ABA:** 071000288  
**Swift:** HATRUS44

**Invoice #:** 6526744  
**Invoice Date:** 4/26/2023  
**Balance Due:** \$710.90

Pay by Credit Card:  
[www.capitalreportingcompany.com](http://www.capitalreportingcompany.com)

# Capital Reporting Company

Tel. 202-857-3376 Email: [billing@capitalreportingcompany.com](mailto:billing@capitalreportingcompany.com)  
 Fed. Tax ID: 20-0203552



Bill To: Daryl M. Schumacher Esq  
 Kopecky Schumacher Rosenberg PC  
 120 N LaSalle Street  
 Suite 2000  
 Chicago, IL, 60602

**Invoice #:** 6576965  
**Invoice Date:** 5/17/2023  
**Balance Due:** \$1,985.35

<b>Case: Commodity Futures Trading Commission v. Ikkurty, Et Al (22:cv2465)</b>	<b>Proceeding Type: Depositions</b>
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Job #: 5860098 | Job Date: 4/12/2023 | Delivery: Normal  
 Location: Washington, DC  
 Billing Atty: Daryl M. Schumacher Esq  
 Scheduling Atty: Candice Haan | Commodity Futures Trading Commission (CFTC)

Witness: Sam Ikkurty	Amount
Transcript Services	\$1,715.50
Exhibits	\$148.85
Logistics, Processing & Electronics Files	\$121.00

Notes:	<b>Invoice Total:</b> \$1,985.35 <b>Payment:</b> \$0.00 <b>Credit:</b> \$0.00 <b>Interest:</b> \$0.00 <b>Balance Due:</b> \$1,985.35
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TERMS: Payable upon receipt. Accounts 30 days past due will bear a finance charge of 1.5% per month. Accounts unpaid after 90 days agree to pay all collection costs, including reasonable attorney's fees. Contact us to correct payment errors. No adjustments will be made after 90 days. For more information on charges related to our services please consult <http://www.capitalreportingcompany.com/services>

**Remit to:**  
 Capital Reporting Company  
 P.O. Box 71303  
 Chicago IL 60694-1303  
 Fed. Tax ID: 20-0203552

**Pay By ACH (Include invoice numbers):**  
**A/C Name:**Capital Reporting Company  
**Bank Name:**BMO Harris Bank  
**Bank Addr:**311 W. Monroe Chicago, IL 60606  
**Account No:**2049351 **ABA:**071000288  
**Swift:** HATRUS44

**Invoice #:** 6576965  
**Invoice Date:** 5/17/2023  
**Balance Due:** \$1,985.35

Pay by Credit Card:  
[www.capitalreportingcompany.com](http://www.capitalreportingcompany.com)



DOUBLETREE BY HILTON PORTLAND BEAVERTON  
 1302 NW OREGON ST  
 BEAVERTON, OR 97006  
 United States of America  
 TELEPHONE 503-614-8100 • FAX 503-614-0352  
 Reservations  
 www.hilton.com or 1 800 HILTONS

SCHUMACHER, DARYL  
 3712 N. LEAVITT ST.  
 CHICAGO IL 60618  
 UNITED STATES OF AMERICA

Room No: 411/NK  
 Arrival Date: 5/8/2023 1:33:00 PM  
 Departure Date: 5/9/2023  
 Adult/Child: 1/0  
 Cashier ID: ANTONIO9  
 Room Rate: 139.00  
 AL:  
 HH #  
 VAT #  
 Folio No/Che 191138 A

Confirmation Number: 83164733

DOUBLETREE BY HILTON PORTLAND BEAVERTON 5/9/2023 3:51:00 AM

DATE	REF NO	DESCRIPTION	CHARGES
5/8/2023	769662	GUEST ROOM	\$139.00
5/8/2023	769662	COUNTY LODGING TAX	\$18.07
5/8/2023	769662	STATE OCCUPANCY TAX	\$2.09
WILL BE SETTLED TO MC*4304			\$159.16
EFFECTIVE BALANCE OF			\$0.00

**From:** [Jim Kopecky](#)  
**To:** [Daryl Schumacher](#)  
**Subject:** FW: eTicket Itinerary and Receipt for Confirmation PV9ETM  
**Date:** Friday, April 28, 2023 8:23:09 AM

JAMES L. KOPECKY



**KOPECKY SCHUMACHER ROSENBERG LLC**  
120 N. LaSalle St. • Suite 2000 • Chicago • Illinois • 60602  
Direct 312-380-6552  
[jkopecky@ksrlaw.com](mailto:jkopecky@ksrlaw.com)  
[www.ksrlaw.com](http://www.ksrlaw.com)

**From:** United Airlines <Receipts@united.com>  
**Sent:** Friday, April 28, 2023 8:22 AM  
**To:** Jim Kopecky <jkopecky@ksrlaw.com>  
**Subject:** eTicket Itinerary and Receipt for Confirmation PV9ETM



Fri, Apr 28, 2023

Thank you for choosing [United](#).

A receipt of your purchase is shown below. Please retain this email receipt for your records.

**Get ready for your trip:** [Visit the Travel-Ready Center](#), your one-stop digital assistant, to find out about important travel requirements specific to your trip.

Confirmation Number:

PV9ETM

Flight 1 of 2 UA2483

Class: United Economy (Q)

Mon, May 08, 2023

Mon, May 08, 2023

09:03 AM

11:40 AM

Chicago, IL, US (ORD)

Portland, OR, US (PDX)

Flight 2 of 2 UA728

Class: United Economy (Q)

Tue, May 09, 2023

Tue, May 09, 2023

02:29 PM

08:25 PM

Portland, OR, US (PDX)

Chicago, IL, US (ORD)

Traveler Details

SCHUMACHER/DARYL

eTicket number: **0162482932723**

Seats: **ORD-PDX 28D**

Frequent Flyer: **UA-XXXXX886 Premier Silver**

**PDX-ORD 28F**

KOPECKY/JAMESL

eTicket number: **0162482932722**

Seats: **ORD-PDX 28C**

Frequent Flyer: **UA-XXXXX399 Member**

**PDX-ORD 27F**

Purchase Summary

Method of payment:

**Master Card ending in 7024**

Date of purchase:

**Fri, Apr 28, 2023**

Airfare:	<b>801.86</b>
U.S. Transportation Tax:	<b>60.14</b>
U.S. Flight Segment Tax:	<b>9.60</b>
September 11th Security Fee:	<b>11.20</b>
U.S. Passenger Facility Charge:	<b>9.00</b>

Total Per Passenger: **891.80 USD**

**Total: 1783.60 USD**

**Fare Rules**

Additional charges may apply for changes in addition to any fare rules listed.

REFUNDABLE

**MileagePlus Accrual Details**

Daryl Schumacher					
Date	Flight	From/To	Award Miles	PQP	PQF
Mon, May 08, 2023	2483	Chicago, IL, US (ORD) to Portland, OR, US (PDX)	2807	401	1
Tue, May 09, 2023	728	Portland, OR, US (PDX) to Chicago, IL, US (ORD)	2807	401	1
MileagePlus accrual totals:			5614	802	2

Jamesl Kopecky					
Date	Flight	From/To	Award Miles	PQP	PQF
Mon, May 08, 2023	2483	Chicago, IL, US (ORD) to Portland, OR, US (PDX)	2005	401	1
Tue, May 09, 2023	728	Portland, OR, US (PDX) to Chicago, IL, US (ORD)	2005	401	1
MileagePlus accrual totals:			4010	802	2

**Baggage allowance and charges for this itinerary**

Origin and destination for checked baggage	1st bag charge	2nd bag charge	1st bag weight and dimensions	2nd bag weight and dimensions
Mon, May 08, 2023 Chicago, IL, US (ORD - O'Hare) to Portland, OR, US (PDX)	0 USD	45 USD	70lbs(32kg) - 62in(157cm)	70lbs(32kg) - 62in(157cm)

Tue, May 09, 2023 Portland, OR, US (PDX) to Chicago, IL, US (ORD - O'Hare)	0 USD	45 USD	70lbs(32kg) - 62in(157cm)	70lbs(32kg) - 62in(157cm)
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Baggage check-in must occur with United or United Express, and you must have valid MileagePlus Premier® Silver membership at time of check-in to qualify for waiver of the service charge for the first checked bag (within specified size and weight limits).

### Important Information about MileagePlus Earning

- Accruals vary based on the terms and conditions of the traveler's frequent flyer program, frequent flyer status, and the selected itinerary. United MileagePlus® mileage accrual is subject to the rules of the MileagePlus program. Once travel has started, accruals will no longer display. You can always view your MileagePlus account for posted accrual.
- You can earn up to 75,000 award miles per ticket. The 75,000 award miles cap may be applied to your posted flight activity in an order different than shown. Accrual is only displayed for MileagePlus members who choose to accrue to their MileagePlus account.

### eTicket Reminders

- **Check-in Requirement** - Bags must be checked and boarding passes obtained at least 45 minutes prior to scheduled departure. Baggage will not be accepted and advance seat assignments may be cancelled if this condition is not met. **EXCEPTION:** When departing from Anchorage, Atlanta, Austin, Baltimore, Chicago, Cincinnati, Cleveland, Dallas/Ft. Worth, Denver, Detroit, Fort Lauderdale, Greenville-Spartanburg, Guam, Honolulu, Houston, Indianapolis, Jacksonville, Kona, Las Vegas, Los Angeles, Maui, Miami, New York (LGA), Newark, Orange County (SNA), Orlando, Philadelphia, Phoenix, Pittsburgh, Raleigh/Durham, Reno, San Diego, San Francisco, San Juan, PR (60 minutes), Savannah, Seattle, St. Louis, St. Thomas, U.S. Virgin Islands (60 minutes), Tampa, Washington, DC (both IAD and DCA), the check in requirement time for Passengers and Bags is 45 minutes except where noted.
- **Boarding Requirement** - Passengers must be prepared to board at the departure gate with their boarding pass at least 15 minutes prior to scheduled departure.
- Failure to meet the **Boarding Requirements** may result in cancellation of reservations, denied boarding, removal of checked baggage from the aircraft and loss of eligibility for denied boarding compensation.
- Bring your boarding pass or this eTicket Receipt along with [photo identification](#) to the airport.
- The FAA now restricts carry-on baggage to one bag plus one personal item (purse, briefcase, laptop computer, etc.) per passenger. The fare rules for your ticket may restrict your carry-on baggage allowance even further.
- For up to the minute flight information, sign-up for our [Flight Status Updates](#) or call 1-800-824-6200; in Spanish 1-800-426-5561.
- If flight segments are not flown in order, your reservation will be cancelled. Rebooking will be subject to the fare rules governing your ticket.
- For the most current status of your reservation, go to our [Flight Status](#) page.
- Your eTicket is non transferable and valid for 1 year from the issue date unless otherwise noted in the fare rules.

### Data Protection Notice

Your personal data will be processed in accordance with the applicable carrier's privacy policy and if your booking is made via a reservation system provider ("GDS"), with its privacy policy. These are available at <http://www.iatatravelcenter.com/privacy> or from the carrier or GDS directly. You should read this documentation, which applies to your booking and specifies, for example, how your personal data is collected, stored, used, disclosed and transferred

### Customer Care Contact Information

We welcome your compliments, comments or complaints regarding United or a United travel experience. You may contact us using our [Customer Care](#) form

### Hazardous materials

Federal law forbids the carriage of hazardous materials on board aircraft in your luggage or on your person. A violation can result in five years' imprisonment and penalties of \$250,000 or more (49 U.S.C. 5124). Hazardous materials include explosives, compressed gases, flammable liquids and solids, oxidizers, poisons, corrosives and radioactive materials. Common examples of hazardous materials/dangerous goods include spare or loose lithium batteries, fireworks, strike-anywhere matches, aerosols, pesticides, bleach and corrosive materials. Additional information can be found on:

- [united.com restricted items page](#)
- [FAA website Pack Safe page](#)
- [TSA website Prohibited Items page](#)



### **Refunds Within 24 Hours**

When you book and ticket a reservation through united.com, the United mobile app, the United Customer Contact Center, at our ticket counters or city ticket offices, or if you use MileagePlus® miles to book an award ticket, we will allow you to cancel the ticketed reservation without penalty and receive a 100 percent refund of the ticket price to the original form of payment if you cancel the reservation within 24 hours of purchase and if the reservation is made one week or more prior to scheduled flight departure.

### **Disinsection Notice**

Certain countries require that the passenger cabins of aircraft be treated with insecticides. For additional information and a list of those countries, please visit the [U.S. Department of Transportation's disinsection website](#).

### **IMPORTANT CONSUMER NOTICES**

**Changes/Cancellations** - Most tickets, other than Basic Economy tickets or those for travel originating outside the United States, other than for travel between the United States and Mexico or the Caribbean, may be changed to a different itinerary, or cancelled and the value of the ticket retained so that it can be applied to a new ticket, without a change fee. Such change or cancellation must be made prior to the original ticketed travel date. Travelers making changes will be responsible for the fare difference if the new itinerary has a higher fare than the original ticketed itinerary or a credit for future travel on United if the new itinerary has a lower fare than the original ticketed itinerary. Unless a waiver applies, Basic Economy tickets may not be changed or cancelled and a change fee will apply for changes or cancellations made to tickets for travel originating outside the United States, other than for travel between the United States and Mexico or the Caribbean. Policies concerning your ability to make reservation changes and cancellations and any fees associated with such changes can be found at [Important travel notices | United Airlines](#).

**Notice of Baggage Liability Limitations** - For domestic travel between points within the United States (except for domestic portions of international journeys), United's liability for loss of, damage to, or delay in delivery of a customer's checked baggage is limited to \$3,800 per ticketed customer unless a higher value is declared in advance and additional charges are paid (not applicable to wheelchairs or other assistive devices). For such travel, United assumes no liability for high value, fragile, perishable, or otherwise excluded items; excess valuation may not be declared on certain types of valuable articles. Further information may be obtained from the carrier. For international travel governed by the Warsaw Convention (including the domestic portions of the trip), maximum liability is approximately 640 USD per bag for checked baggage, and 400 USD per passenger for unchecked baggage. For international travel governed by the Montreal Convention (including the domestic portions of the trip), maximum liability is 1,288 SDRs per passenger for baggage, whether checked or unchecked. For baggage lost, delayed, or damaged in connection with domestic travel, United requires that customers provide preliminary notice within 24 hours after arrival of the flight on which the baggage was or was to be transported and submit a written claim within 45 days of the flight. For baggage damaged or delayed in connection with most international travel (including domestic portions of international journeys), the Montreal Convention and United require customers to provide carriers written notice as follows: (a) for damaged baggage, within seven days from the date of receipt of the damaged baggage; (b) for delayed baggage, within 21 days from the date the baggage should have been returned to the customer. Please refer to Rule 28 of United's Contract of Carriage for important information relating to baggage and other limitations of liability.

**Notice of Incorporated Terms** - Transportation is subject to the terms and conditions of United's Contract of Carriage, which are incorporated herein by reference. Incorporated terms may include, but are not limited to: 1. Limits on liability for personal injury or death of the customer, and for loss, damage, or delay of goods and baggage, including high value, fragile, perishable, or otherwise excluded items. 2. Claims restrictions, including time periods within which customers must file a claim or bring an action against the carrier. 3. Rights of the carrier to change terms of the contract. 4. Rules about reconfirmation of reservations, check-in times, and refusal to carry. 5. Rights of the carrier and limits on liability for delay or failure to perform service, including schedule changes, substitution of an alternate air carrier or aircraft, and rerouting. The full text of United's Contract of Carriage is available at united.com or you may request a copy at any United ticket counter. Passengers have the right, upon request at any location where United's tickets are sold within the United States, to receive free of charge by mail or other delivery service the full text of United's Contract of Carriage.

**Notice of Certain Terms** - If you have purchased a restricted ticket, depending on the rules applicable to the fare paid, one or more restrictions including, but not limited to, the following may apply to your travel: (1) the ticket may not be refundable but can be exchanged for a fee for another restricted fare ticket meeting all the rules/restrictions of the original ticket (including the payment of any difference in fares); (2) a fee may apply for changing/canceling reservations; or (3) select tickets may not be eligible for refunds or changes even for a fee; (4) select tickets have no residual value and cannot be applied towards the purchase of future travel; or (5)

travel may be restricted to specific flights and/ or times and a minimum and/or maximum stay may be required. United reserves the right to refuse carriage to any person who has acquired a ticket in violation of any United tariffs, rules, or regulations, or in violation of any applicable national, federal, state, or local law, order, regulation, or ordinance. Notwithstanding the foregoing, you are entitled to a full refund if you cancel a ticket purchased at least a week prior to departure within 24 hours of purchase.

**Notice of Boarding Times** - For Domestic flights, customers must be at the boarding gate at least 15 minutes prior to scheduled departure. For International flights, customers must be at the boarding gate at least 30 minutes prior to scheduled departure. The time limits provided by United in this Notice are minimum time requirements. Customer and baggage processing times may differ from airport to airport. Please visit [united.com](https://www.united.com) for information regarding airport-specific boarding times. It is the customer's responsibility to arrive at the airport with enough time to complete check-in, baggage, and security screening processes within these minimum time limits. Please be sure to check flight information monitors for the correct boarding gate and the departure time of your flight. Failure to be at the boarding gate by the required time could result in the loss of your seat without compensation, regardless of whether you are already checked in or have a confirmed seat and boarding pass.

**ADVICE TO INTERNATIONAL PASSENGERS ON LIMITATIONS OF LIABILITY** - Passengers embarking upon a journey involving an ultimate destination or a stop in a country other than the country of departure are advised that the provisions of an international treaty (the Warsaw Convention, the 1999 Montreal Convention, or other treaty), as well as a carrier's own contract of carriage or tariff provisions, may be applicable to their entire journey, including any portion entirely within the countries of departure and destination. The applicable treaty governs and may limit the liability of carriers to passengers for death or personal injury, destruction or loss of, or damage to, baggage, and for delay of passengers and baggage. Additional protection can usually be obtained by purchasing insurance from a private company. Such insurance is not affected by any limitation of the carrier's liability under an international treaty. For further information please consult your airline or insurance company representative.

**Notice - Overbooking of Flights** - Airline flights may be overbooked, and there is a slight chance that a seat will not be available on a flight for which a person has a confirmed reservation. If the flight is overbooked, no one will be denied a seat until airline personnel first ask for volunteers willing to give up their reservation in exchange for compensation of the airline's choosing. If there are not enough volunteers, the airline will deny boarding to other persons in accordance with its particular boarding priority. With few exceptions, including failure to comply with the carrier's check-in deadlines, which are available upon request from the air carrier, persons, denied boarding involuntarily are entitled to compensation. The complete rules for the payment of compensation and each airline's boarding priorities are available at all airport ticket counters and boarding locations. *Some airlines do not apply these consumer protections to travel from some foreign countries, although other consumer protections may be available. Check with your airline or your travel agent.*



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[View our Legal Notices](#)



SeaSaw Marketing  
 480-286-2911  
 3299 Hopi Dr.  
 Happy Jack, AZ 86024

Billed To  
 Howard Rosenberg  
 KSR Law

Date of Issue  
 06/01/2023

Invoice Number  
 KS-2023-04

Amount Due (USD)  
**\$668.48**

Due Date  
 06/01/2023

Description	Rate	Qty	Line Total
Services KSR Law Website updates - April - June 2023	\$100.00	4	\$400.00
Software GoDaddy Renewal rosecityfund.com	\$20.17	1	\$20.17
Software GoDaddy Renewal rosecityfundreceivership.com	\$20.17	1	\$20.17
Software GoDaddy Hosting for 12 months beginning May 2023	\$128.15	1	\$128.15
Software SSL Renewal for 1 year	\$99.99	1	\$99.99

Subtotal 668.48

Tax 0.00

Total 668.48

Amount Paid 0.00

**Amount Due (USD) \$668.48**

#### Terms

Payment due on receipt. Thank you for your business.

# Receipt

CONTACT US 24/7 1-480-505-8877

No 2589159760

DATE:  
5/26/2023

CUSTOMER #:  
490950668

BILL TO:  
Amy Snodgrass  
3299 Hopi Drive,  
Happy Jack, Arizona 86024,  
United States  
480-286-2911

PAYMENT:  
MasterCard •••• 0593 \$20.17

---

**Previous Balance** \$20.17

**Received Payment** (\$20.17)

---

**Balance Due (USD)** **\$0.00**

Term	Product	Amount
1 yr	.COM Domain Renewal ROSECITYFUNDRECEIVERSHIP.COM <sup>1</sup>	\$19.99
	<b>Subtotal</b>	<b>\$19.99</b>
	Taxes	\$0.00
	Fees	\$0.18
	<b>Total (USD)</b>	<b>\$20.17</b>

REFERENCE

Taxes \$0.00

GoDaddy.com, LLC \$0.00  
2155 E GoDaddy Way,  
Tempe, Arizona 85284,  
United States

Fees \$0.18

1.	ICANN	\$0.18
	ROSECITYFUNDRECEIVERSHIP.COM	\$0.18

Universal Terms of Service

# Receipt

CONTACT US 24/7 1-480-505-8877

No 2589154459

DATE:  
5/26/2023

CUSTOMER #:  
490950668

BILL TO:  
Amy Snodgrass  
3299 Hopi Drive,  
Happy Jack, Arizona 86024,  
United States  
480-286-2911

PAYMENT:  
MasterCard •••• 0593 \$128.15

**Previous Balance** \$128.15  
**Received Payment** (\$128.15)

**Balance Due (USD)** **\$0.00**

Term	Product	Amount
1 yr	Economy Linux Hosting with cPanel Renewal <sup>A1</sup> rosecityfundreceivership.com	\$119.88
1 mo	Renewal Usage <sup>A2</sup>	\$0.00
<b>Subtotal</b>		<b>\$119.88</b>
Taxes		\$8.27
Fees		\$0.00

**Total (USD)** **\$128.15**

REFERENCE

Taxes \$8.27

A GoDaddy.com, LLC \$8.27  
 2155 E GoDaddy Way,  
 Tempe, Arizona 85284,  
 United States

A1	Net	\$119.88	General Sales and Use Tax (5.60%)	\$6.71	
			General Sales and Use Tax (1.30%)	\$1.56	
A2	Net	\$0.00	General Sales and Use Tax (0.00%)	\$0.00	
			General Sales and Use Tax (0.00%)	\$0.00	

Fees \$0.00

Universal Terms of Service

# Receipt

CONTACT US 24/7 1-480-505-8877

No 2512449312

DATE:  
3/21/2023

CUSTOMER #:  
490950668

BILL TO:  
Amy Snodgrass  
3299 Hopi Drive,  
Happy Jack, Arizona 86024,  
United States  
480-286-2911

PAYMENT:  
Paypal .PayPal Agreement ###20P \$99.99

---

Previous Balance \$99.99

Received Payment (\$99.99)

---

Balance Due (USD) \$0.00

Term	Product	Amount
1 yr	Standard SSL Renewal rosecityfundreceivership.com	\$99.99
<b>Total (USD)</b>		<b>\$99.99</b>

REFERENCE

Taxes \$0.00

GoDaddy.com, LLC \$0.00  
2155 E GoDaddy Way,



Tempe, Arizona 85284,  
United States

---

Fees

\$0.00

[Universal Terms of Service](#)

# Receipt

CONTACT US 24/7 1-480-505-8877

No 2471627057

DATE:  
2/14/2023

CUSTOMER #:  
490950668

BILL TO:  
Amy Snodgrass  
3299 Hopi Drive,  
Happy Jack, Arizona 86024,  
United States  
480-286-2911

PAYMENT:  
Paypal .PayPal Agreement ###20P \$20.17

---

Previous Balance \$20.17  
Received Payment (\$20.17)

---

Balance Due (USD) **\$0.00**

Term	Product	Amount
1 yr	.COM Domain Renewal rosecityfund.com <sup>1</sup>	\$19.99
<b>Subtotal</b>		<b>\$19.99</b>
Taxes		\$0.00
Fees		\$0.18
<b>Total (USD)</b>		<b>\$20.17</b>

REFERENCE

Taxes \$0.00

GoDaddy.com, LLC \$0.00  
2155 E GoDaddy Way,  
Tempe, Arizona 85284,  
United States

Fees \$0.18

1.	ICANN	\$0.18
	rosecityfund.com	\$0.18

Universal Terms of Service



4123 Lankershim Boulevard  
North Hollywood, CA 91602

**James L. Kopecky**

Rose City Income Fund Receivership  
Kopecky Schumacher Rosenberg LLC  
120 N. LaSalle St., Suite 2000  
Chicago, IL 60602

**Invoice: 569896**

Client ID: 3005220

Date: 08/31/2023

**Due Date: 08/31/2023**

For professional services rendered as follows:

DATE	SERVICE	STAFF	HOURS	AMOUNT
<b>Rose City Income Fund Receivership- General Consulting Services</b>				
06/06/2023	Review	NAS	1.00	
	Review for info reporting/characterization and email receiver re: W-8/9 solicitations on claim form.			
		Subtotal		490.00
<b>Ikkurty Capital LLC- Information Return Reporting Services</b>				
07/06/2023	Produce Forms	DRS	0.50	
	1099's			
07/11/2023	Produce Forms	DRS	1.75	
	Preparation of 2022 Tax Returns			
07/13/2023	Review	NML	0.50	
	Review 1099s			
07/13/2023	Release for Agency Filing	ER	0.25	
	Forms 1099-NEC			
		Subtotal		638.75
<b>Ikkurty Capital LLC- Partnership Tax Services</b>				
05/19/2023	Review	NML	0.30	
	Update open items list for Jim			
06/12/2023	Preparation	DRS	0.75	
06/19/2023	Preparation	DRS	0.50	
	Preparation of 2022 Tax Return			
06/26/2023	Preparation	DRS	2.50	
	Preparation of 2022 Tax Return			
06/27/2023	Preparation	DRS	0.25	
	Preparation of 2022 Tax Return			
06/28/2023	Preparation	DRS	0.25	
	Preparation of 2022 Tax Return			
06/29/2023	Preparation	DRS	1.25	
	Preparation of 2022 Tax Return			

O. 818.769.2010 / F. 818.769.3100 / FED EIN 95-2036255

## Miller Kaplan Arase LLP

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06/29/2023	Review	NML	1.00
	Review tax return		
06/30/2023	Preparation	DRS	0.25
	Preparation of 2022 Tax Return		
06/30/2023	Review	NML	6.00
	Review tax return		
07/03/2023	Review	MRR	2.50
	Reviewed workpapers and federal return		
07/05/2023	Delivery	DT	0.50
	Dispatch 2022 U.S. partnership income tax return.		
07/05/2023	Delivery	SGS	0.50
	Proof 2022 Tax Return		
07/05/2023	Final Review	NML	1.00
	Final review		
07/05/2023	Delivery	ER	0.75
	Schedule K-1 packages.		
07/05/2023	Assemble	DT	2.25
	Assemble 2022 U.S. partnership income tax return.		
07/06/2023	E-File	DT	0.10
	Save signed 2022 U.S. e-file form.		

Subtotal

5,565.50

**Rose City Income Fund II LP- Partnership Tax Services**

05/19/2023	Review	NML	0.30
	Update open items list for Jim		
06/01/2023	Preparation	NML	0.20
	Update EIN's		
06/05/2023	Review Data	NML	0.20
	Update return with additional data received		
06/19/2023	Preparation	DRS	1.25
	Preparation of 2022 Tax Return		
06/20/2023	Preparation	DRS	0.50
	Preparation of 2022 Tax Return		
06/27/2023	Preparation	DRS	0.25
	Preparation of 2022 Tax Return		
06/30/2023	Preparation	DRS	4.75
	Preparation of 2022 Tax Return		
07/03/2023	Preparation	DRS	5.50
	Preparation of 2022 Tax Return		
07/06/2023	Review	NML	2.50
	Review tax return		
07/07/2023	Preparation	DRS	0.25
	Preparation of 2022 Tax Return		
07/10/2023	Preparation	DRS	5.25
	Preparation of 2022 Tax Return		

O. 818.769.2010 / F. 818.769.3100 / FED EIN 95-2036255

07/10/2023	Review	NML	0.30
	Review settlement expense allocation		
07/11/2023	Preparation	DRS	1.75
	Preparation of 2022 Tax Return		
07/11/2023	Review	NML	1.00
	Review tax return		
07/12/2023	Preparation	DRS	3.75
	Preparation of 2022 Tax Return		
07/13/2023	Assemble	DT	1.50
	Assemble 2022 U.S. partnership income tax return.		
07/13/2023	Review	NML	2.50
	Final review		
07/13/2023	Assemble	ER	0.50
	Partner K-1s taxpayer copy.		
07/14/2023	Delivery	DT	1.00
	Complete assembly & dispatch 2022 U.S. partnership income tax return.		
07/14/2023	Assemble	ER	0.50
	Partner K-1s distribution copy.		
07/17/2023	Preparation	DRS	0.50
	Preparation of 2022 Tax Return		
07/20/2023	Preparation	DRS	0.50
	Preparation of 2022 Tax Return		
07/24/2023	Delivery	ER	0.10
	Bandaru K-1 packages via email.		
07/26/2023	Preparation	DRS	0.50
	Preparation of 2022 Tax Return		
07/31/2023	Review	NML	0.30
	Answer investor question		
07/31/2023	Preparation	DRS	0.50
	Preparation of 2022 Tax Return		
08/01/2023	Preparation	DRS	0.75
	Preparation of 2022 Tax Return		
		Subtotal	7,870.50

**Seneca Ventures LLC- Partnership Tax Services**

05/19/2023	Review	NML	0.30
	Review investor information submitted		
06/01/2023	Preparation	NML	0.40
	Update EINs		
06/13/2023	Preparation	DRS	0.75
	Preparation of 2022 Tax Return		
06/14/2023	Preparation	DRS	1.75
	Preparation of 2022 Tax Return		
06/19/2023	Preparation	DRS	1.75
	Preparation of 2022 Tax Return		

Miller Kaplan Arase LLP

Page 4 of 5

06/27/2023	Preparation	DRS	0.25	
	Preparation of 2022 Tax Return			
07/06/2023	Preparation	DRS	0.50	
	Preparation of 2022 Tax Return			
07/07/2023	Preparation	DRS	2.25	
	Preparation of 2022 Tax Return			
07/11/2023	Review	NML	0.50	
	Review open items list			
08/02/2023	Preparation	DRS	3.25	
	Preparation of 2022 Tax Return			
08/08/2023	Preparation	DRS	1.00	
	Preparation of 2022 Tax Return			
		Subtotal		2,389.50
<b>Rose City Income Fund Receivership- Qualified Settlement Fund Services</b>				
12/15/2022	Preparation	ZMH	2.25	
	Quarterly estimated tax payment 2022 Q4			
12/15/2022	Preparation	JGM	0.40	
	Quarterly estimated tax payments 2022 Q4.			
12/16/2022	Review	JGM	0.30	
	Quarterly estimated tax payments 2022 Q4 review.			
02/15/2023	Preparation	KS	0.30	
	Preparation of 2022 tax returns.			
02/20/2023	Review	JAC	0.40	
	Preparation and filing of the 2022 Qualified Settlement Fund Income Tax Return.			
02/21/2023	Discussions/Meetings	NAS	0.50	
	Discuss tax treatment of defendant's living expenses.			
03/15/2023	Preparation	KS	1.10	
	Preparation of 2022 tax returns.			
04/13/2023	Preparation	ER	0.10	
	Extension			
06/07/2023	Intake	AM	0.25	
	Q2 estimates			
		Subtotal		1,217.50
		Total for Services		18,171.75
<b>Charges</b>				
07/13/2023	Information Reporting	50.00		
	Forms 1099-NEC			
		Subtotal		50.00
		Total for Charges		50.00

Billed Time & Charges	\$18,221.75
Overhead Charge	1,899.06
<b>Invoice Total</b>	<b>\$20,120.81</b>

PLEASE REMIT PAYMENT VIA WIRE TRANSFER OR CHECK  
 ACCORDING TO THE INSTRUCTIONS BELOW:  
 WIRE FUNDS TO:

CITY NATIONAL BANK  
 400 N. ROXBURY DRIVE, 5TH FLOOR  
 BEVERLY HILLS, CA 90210  
 ABA NUMBER 122016066 SWIFT CODE CINAUS6L  
 BENEFICIARY ACCOUNT NUMBER 113-238313  
 BENEFICIARY ACCOUNT NAME: MILLER KAPLAN ARASE LLP

MAKE CHECK PAYABLE TO:

MILLER KAPLAN ARASE LLP  
 4123 LANKERSHIM BLVD, NORTH HOLLYWOOD, CA 91602-2828

<u>08/31/2023</u>	<u>07/31/2023</u>	<u>06/30/2023</u>	<u>05/31/2023</u>	<u>04/30/2023+</u>	<u>Total</u>
20,120.81	0.00	0.00	0.00	0.00	<b>\$20,120.81</b>





StoneTurn Group, LLP  
227 West Monroe Street Suite 3725  
Chicago, IL 60606  
T +1 312 775 1200

April 19, 2023

James Kopecky, Esq.  
Receiver for Jafia, LLC, et al.  
Kopecky Schumacher Rosenberg LLC  
120 N. LaSalle Street, Suite 2000  
Chicago, IL 60602

Re: Accounting Review related to Commodity Futures Trading Commission litigation matter

Dear Jim:

Attached please find the invoice for our professional services from February 2 through March 31, 2023. The invoice contains a detailed spreadsheet of our time charges. The invoice contains a detailed spreadsheet of our time charges.

If you have any questions, please call me at (312) 775-1222.

Sincerely,

A handwritten signature in black ink that reads "Greg Buchanan". The signature is written in a cursive, flowing style.

Greg Buchanan  
Partner

# StoneTurn

75 State Street  
 Suite 1710  
 Boston, MA 02109  
 Phone: 617-570-3700

April 19, 2023  
 Invoice No: 15039  
 Terms: Net 30

James Kopecky, Esq.  
 Receiver for Jafia, LLC, et al.  
 Kopecky Schumacher Rosenberg LLC  
 120 N. LaSalle Street, Suite 2000  
 Chicago, IL 60602

Matter 202205003492 Seward & Kissel/Sam Ikkurty,Jafia/CFTC

**Professional Services rendered from February 2, 2023 to March 31, 2023**

Name	Level	Hours	Rate	Amount
Soha, Charles	Managing Director	6.25	495.00	3,093.75
<b>Total Labor</b>		<b>6.25</b>		<b>3,093.75</b>

Invoice Total:

**\$3,093.75**

**Outstanding Invoices**

Number	Date	Balance
0014259	8/30/2022	371.25
0014808	1/27/2023	2,438.75
<b>Total</b>		<b>2,810.00</b>

**Wire and ACH Payment**

StoneTurn Group LLP  
 Bank Name: JPMorgan Chase  
 City & State: New York, New York  
 Account #: 655711528 Routing #: 021000021

**Check Payments**

StoneTurn Group LLP  
 75 State Street, Suite 1710  
 Boston, MA 02109

Please include your invoice number in the payment reference.

If you have any questions or concerns, please email [accounting@stoneturn.com](mailto:accounting@stoneturn.com)

Seward & Kissel/Sam Ikkurty, Jafia/CFTC  
February 2, 2023 to March 31, 2023  
Invoice No: 15039

Name	Date	Description	Hours	Rate	Fees
Soha, Charles	2/15/2023	Preparation of investor statement figures; investor redemption and profitability, and related responses to Receiver	2.00	495.00	\$ 990.00
Soha, Charles	3/26/2023	Preparation of schedule of investor bond receipts and payments	3.75	495.00	\$ 1,856.25
Soha, Charles	3/27/2023	Internal review and delivery of schedule of investor bond receipts and payments	0.50	495.00	\$ 247.50

**Total Professional Services**

6.25

3,093.75



StoneTurn Group, LLP  
227 West Monroe Street Suite 3725  
Chicago, IL 60606  
T +1 312 775 1200

June 19, 2023

James Kopecky, Esq.  
Receiver for Jafia, LLC, et al.  
Kopecky Schumacher Rosenberg LLC  
120 N. LaSalle Street, Suite 2000  
Chicago, IL 60602

Re: Accounting Review related to Commodity Futures Trading Commission litigation matter

Dear Jim:

Attached please find the invoice for our professional services from May 1 through May 31, 2023. The invoice contains a detailed spreadsheet of our time charges. The invoice contains a detailed spreadsheet of our time charges.

If you have any questions, please call me at (312) 775-1222.

Sincerely,

A handwritten signature in black ink that reads "Greg Buchanan". The signature is written in a cursive, flowing style.

Greg Buchanan  
Partner

# StoneTurn

75 State Street  
Suite 1710  
Boston, MA 02109  
Phone: 617-570-3700

June 19, 2023  
Invoice No: 15466  
Terms: Net 30

James Kopecky, Esq.  
Receiver for Jafia, LLC, et al.  
Kopecky Schumacher Rosenberg LLC  
120 N. LaSalle Street, Suite 2000  
Chicago, IL 60602

Matter 202205003492 Sam Ikkurty, Jafia/CFTC

## Professional Services rendered from May 1, 2023 to May 31, 2023

Name	Level	Hours	Rate	Amount
Soha, Charles	Managing Director	13.60	495.00	6,732.00
<b>Total Labor</b>		<b>13.60</b>		<b>6,732.00</b>

Invoice Total:

**\$6,732.00**

## Outstanding Invoices

Number	Date	Balance
0015039	4/19/2023	3,093.75
<b>Total</b>		<b>3,093.75</b>

### Wire and ACH Payment

StoneTurn|Group LLP  
Bank Name: JPMorgan Chase  
City & State: New York, New York  
Account #: 655711528 Routing #: 021000021

### Check Payments

StoneTurn Group LLP  
75 State Street, Suite 1710  
Boston, MA 02109

Please include your invoice number in the payment reference.

If you have any questions or concerns, please email [accounting@stoneturn.com](mailto:accounting@stoneturn.com)

Sam Ikkurty, Jafia/CFTC  
 May 1, 2023 to May 31, 2023  
 Invoice No: 15466

Name	Date	Description	Hours	Rate	Fees
Soha, Charles	5/11/2023	Review of bank records and response to receiver inquiry on performance fee reconciliation	2.60	495.00	\$ 1,287.00
Soha, Charles	5/25/2023	Updates to Flow of Fund analysis based on Subpoena production spanning 9 bank accounts	6.25	495.00	\$ 3,093.75
Soha, Charles	5/30/2023	Updates to flow of fund analysis based on receiver subpoena response	4.75	495.00	\$ 2,351.25

**Total Professional Services**

13.60

6,732.00



StoneTurn Group, LLP  
227 West Monroe Street Suite 3725  
Chicago, IL 60606  
T +1 312 775 1200

August 11, 2023

James Kopecky, Esq.  
Receiver for Jafia, LLC, et al.  
Kopecky Schumacher Rosenberg LLC  
120 N. LaSalle Street, Suite 2000  
Chicago, IL 60602

Re: Accounting Review related to Commodity Futures Trading Commission litigation matter

Dear Jim:

Attached please find the invoice for our professional services from July 1 through July 31, 2023. The invoice contains a detailed spreadsheet of our time charges. The invoice contains a detailed spreadsheet of our time charges.

If you have any questions, please call me at (312) 775-1222.

Sincerely,

A handwritten signature in black ink that reads "Greg Buchanan". The signature is written in a cursive, flowing style.

Greg Buchanan  
Partner

# StoneTurn

75 State Street  
Suite 1710  
Boston, MA 02109  
Phone: 617-570-3700

August 11, 2023  
Invoice No: 15745  
Terms: Net 30

James Kopecky  
Receiver for Jafia, LLC, et al.  
Kopecky Schumacher Rosenberg LLC  
120 N. LaSalle Street, Suite 2000  
Chicago, Illinois 60602

Matter 202205003492 Norton Rose/Sam Ikkurty,Jafia/CFTC

## Professional Services rendered from July 1, 2023 to July 31, 2023

Name	Level	Hours	Rate	Amount
Soha, Charles	Managing Director	10.75	495.00	5,321.25
<b>Total Labor</b>		<b>10.75</b>		<b>5,321.25</b>

Invoice Total:

**\$5,321.25**

## Outstanding Invoices

Number	Date	Balance
0015039	4/19/2023	3,093.75
0015466	6/19/2023	6,732.00
<b>Total</b>		<b>9,825.75</b>

**Wire and ACH Payment**  
StoneTurn|Group LLP  
Bank Name: JPMorgan Chase  
City & State: New York, New York  
Account #: 655711528 Routing #: 021000021

**Check Payments**  
StoneTurn Group LLP  
75 State Street, Suite 1710  
Boston, MA 02109

Please include your invoice number in the payment reference.

If you have any questions or concerns, please email [accounting@stoneturn.com](mailto:accounting@stoneturn.com)



Norton Rose/Sam Ikkurty,Jafia/CFTC  
 July 1, 2023 to July 31, 2023  
 Invoice No: 15745

Name	Date	Description	Hours	Rate	Fees
Soha, Charles	7/7/2023	Review of additional bank statements provided and updated flow of funds analysis	5.50	495.00	\$ 2,722.50
Soha, Charles	7/10/2023	Updates to Flow of Funds analysis	5.25	495.00	\$ 2,598.75

**Total Professional Services**

<u>10.75</u>	<u>5,321.25</u>
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